PATENT APPLICATION DOCKET NO.: 1571.1124-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Xiao-Jing Lu, Gary J. Gauer, David A. Jacob and Erich Minier
Divisional Ap	plication of
Applic	eation No.: 09/820,162

Filed: March 28, 2001

For: A PRISMATIC RETROREFLECTOR HAVING A MULTI-PLANE FACET

Date:	اسلا	23, 200)
EXPRESS MAIL LABEL I	NO	EV 214943288US

INFORMATION DISCLOSURE STATEMENT

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This Information Disclosure Statement is submitted:

[] under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

[X] under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
[] under 37 CFR 1.97(c) together with either:

[] a Statement under 37 CFR 1.97(e), as checked below, or
[] a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
[] under 37 CFR 1.97(d) together with:
[] a Statement under 37 CFR 1.97(e), as checked below, and
[] a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

[] under 37 CFR 1.97(i):
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.

(Filed after payment of issue fee)

Staten	nent Un	der 3/	<u>_FR 1.97(e)</u>	
[]	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or			
[]	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.			
Staten	nent Un	der 37 (CFR 1.704(d) (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000	
[]	comm was no	unication ot receiv	information contained in the Information Disclosure Statement was cited in a son from a foreign patent office in a counterpart application and this communication yed by any individual designated in § 1.56(c) more than thirty days prior to the aformation Disclosure Statement.	
[X]	Enclos	sed here	with is form PTO-1449:	
	[]	Copies	s of the cited references are enclosed.	
	[X] Cited references were entered in prior application, U.S. Application No. 09/820,162, to which priority under 35 U.S.C. 120 is claimed. The earlier application contains copies of the cited references.			
	[]	The list	sted references were cited in the enclosed International Search Report in a expart foreign application.	
	[]	The "c	oncise explanation" requirement (non-English references) for reference(s) [37 CFR 1.98(a)(3) is satisfied by:	
		[]	the explanation provided on the attached sheet.	
		[]	the explanation provided in the Specification.	
		[]	submission of the enclosed International Search Report.	
		[]	submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.	
		[]	the enclosed English language abstract.	

[]	Appl	icant requests that the following r	non-published pending appl	ications be considered:	
Examiner Initials	's				
	_	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []	
	_	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []	
	-	U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []	
		Examiner	Date	_	
	[]	A copy of each above-cited app	olication, including the curre	ent claims, is enclosed.	
	[]	A copy of each above-cited appears those entered in prior application 35 U.S.C. 120 is claimed.	olication, including the curre on, U.S. Application No. [ent claims, is enclosed, except], to which priority under	
The E	xamine nces w	er is requested to return a copy of ere considered with the next offic	the above list of pending apecommunication.	oplications indicating which	
It is re	equeste	d that the information disclosed h	nerein be made of record in	this application.	
Metho	od of pa	ayment:			
[]		eck for the fee noted above is enc npanying Reply. A copy of this S		cluded in the check with the	
[]	Pleas enclo	e charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is sed.			
[X]	Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.			Deposit Account 08-0380.	
		F	Respectfully submitted,		
		H	HAMILTON, BROOK, SM	TTH & REYNOLDS, P.C.	
		F	Erik L. Ence Registration No.: 42,511		
			Telephone: (978) 341-0036 Facsimile: (978) 341-0136	5	

Concord, Massachusetts 01742-9133 Dated: July 23, 2003

PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION	ATTORNEY DOCKET NO. Divisional Application of: APPLICATION NO. 09/820,162		
IN AN APPLICATION	APPLICANTS		
July 22, 2003	Xiao-Jing Lu, et al.		
(Use several sheets if necessary)	FILING DATE	CONFIRMATION NO.	GROUP

	U.S. PATENT DOCUMENTS				
EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER	ISSUE DATE / PUBLICATION DATE	NAME	
	AA	6,390,629	21 MAY 2002	Mimura, et al.	
	AB	6,036,322	14 MAR 2000	Nilsen, et al.	
	AC	5,600,484	04 FEB 1997	Benson, et al.	
	AD	5,585,164	17 DEC 1996	Smith, et al.	
	AE	5,565,151	15 OCT 1996	Nilsen	
	AF	4,076,383	28 FEB 1978	Heasley	
	AG	3,873,184	25 MAR 1975	Heenan	
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EXAMINER	DATE CONSIDERED

PTO-1449 REPRODUCED

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

July 22, 2003

(Use several sheets if necessary)

ATTORN	EY DOCKET	NO.
1571.1	124-003	

Divisional Application of: APPLICATION NO. 09/820,162

APPLICANTS

FILING DATE

Xiao-Jing Lu, et al.

CONFIRMATION NO.

GROUP

			FOREIGN PATENT	DOCUMENTS	
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AL	EP 1 081 511 A1	7 MAR 2001	EPO	
	AM	WO 00/60385	12 OCT 2000	PCT	
	AN	WO 98/59266	30 DEC 98	PCT	
	AO	WO 98/19842	14 MAY 98	PCT	
	AP	WO 96/10197	04 APR 1996	PCT	
	AQ	WO 95/11470	27 APR 95	PCT	
	AL2	WO 95/11465	27 APR 95	PCT	
					
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EXAMINER	DATE CONSIDERED